

# **Spectral Properties of the Stokes and Oseen Operator with Rotation Effect in $L^q$ -spaces**

R. Farwig (TU Darmstadt) &  
Š. Nečasová, J. Neustupa (Academy of Sciences, Prague)

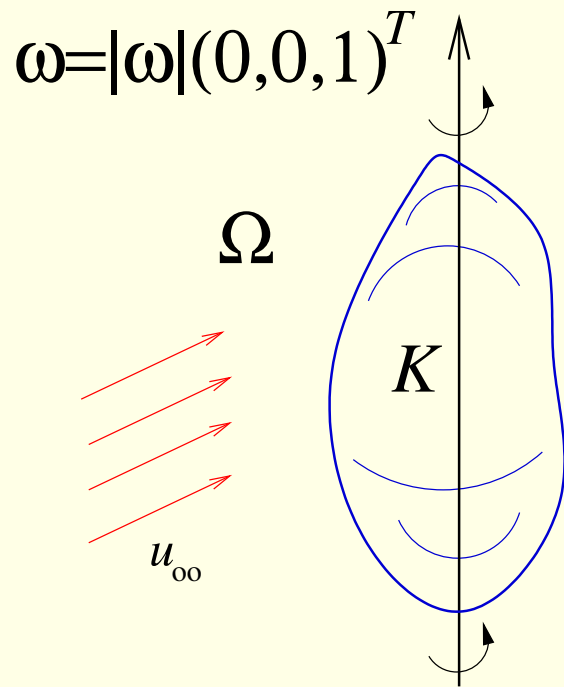
*International Workshop on Mathematical Fluid Dynamics  
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W.l.o.g.  $|\omega| = 1$

$$\Omega \rightsquigarrow \Omega(t) = O(t)\Omega$$

$$O(t) = \begin{pmatrix} \cos t & -\sin t & 0 \\ \sin t & \cos t & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



## Navier-Stokes Equations

$$\begin{aligned}v_t - \nu \Delta v + v \cdot \nabla v + \nabla q &= f && \text{in } \Omega(t) \\ \operatorname{div} v &= 0 && \text{in } \Omega(t) \\ v &= \omega \wedge y && \text{on } \partial\Omega(t) \\ v &\rightarrow u_\infty && \text{at } \infty \\ v(0) &= a && \text{at } t = 0\end{aligned}$$

**Main Problem:** Time-dependent domain  $\Omega(t)$



# Global Coordinate Transformation

T. Hishida:  $x = O^T(t) y$ ,  $u(x, t) = O^T(t)(v(y, t) - u_\infty)$

$\Rightarrow$  modified Navier-Stokes system

$$\begin{aligned} u_t - \nu \Delta u + u \cdot \nabla u - (O^T(t)u_\infty) \cdot \nabla u - \\ (\omega \wedge x) \cdot \nabla u + \omega \wedge u + \nabla p &= f \quad \text{in } \Omega \times (0, \infty) \\ \operatorname{div} u &= 0 \quad \text{in } \Omega \times (0, \infty) \\ u &\rightarrow 0 \quad \text{at } \infty \end{aligned}$$



## References

**Hishida:** Semigroup theory in  $L^2_\sigma$ , *non-analytic*  $C^0$ -semigroup

**Geissert, Heck, Hieber**  $L^q$ -semigroup theory

**Galdi, Galdi-Silvestre, Galdi-Kyed:** Strong  $L^2$ -solutions, stability,  $PR$ -solutions, decay estimates, wake behaviour

**Hishida-Shibata:** Stability, Oseen case, Oseen semigroup

**Hansel:** Oseen case when  $u_\infty$  not parallel to  $\omega$

**F.– Hishida – D. Müller:**  $L^q$ -estimates, stationary case,  $u_\infty = 0$

**F.–Neustupa 2007:** Spectrum in  $L^2$  (Stokes and Oseen)

**F.–Nečasová–Neustupa 2009:** Spectrum in  $L^q$  (Stokes and Oseen)

## The Spectral Problem I

Linearize, replace  $u_t$  by  $\lambda u$  to get the spectral problem on  $\Omega$ :

$$\lambda u - \Delta u + k\partial_3 u - (\omega \wedge x) \cdot \nabla u + \omega \wedge u + \nabla p = f$$

$$\operatorname{div} u = 0$$

$$u = 0 \text{ on } \partial\Omega$$

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Apply Helmholtz projection  $P$  on  $L^q$ , let  $A_\omega = A_{q,\omega}$  be defined by

$$\mathcal{D}(A_\omega) = \{u \in W^{2,q} \cap W_0^{1,q} \cap L_\sigma^q : (\omega \wedge x) \cdot \nabla u \in L^q\},$$

$$A_\omega u = P(-\Delta u + k\partial_3 u - (\omega \wedge x) \cdot \nabla u + \omega \wedge u)$$





## The Spectral Problem II

For  $f \in L^q_\sigma(\Omega)$  and  $\lambda \in \mathbb{C}$  consider the resolvent problem

$$\lambda u + A_\omega u = f$$

$$\operatorname{div} u = 0$$

$$u = 0 \text{ on } \partial\Omega$$

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**Question 1** Determine  $\sigma(-A_\omega)$  for all  $1 < q < \infty$ ,  $k = 0$  (Stokes case) and  $k \neq 0$  (Oseen case)

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**Recall:**  $-A_\omega$  generates a  $C^0$ -semigroup which is not analytic!  
( $k = 0$ : Hishida 1999, Geissert, Heck, Hieber 2006)



## The Case $\mathbb{R}^3$

Use cylindrical coordinates in  $x$ -space and in Fourier space  $\Rightarrow$   
explicit solution ( $k = 0$ )

$$\begin{aligned}\hat{u}(\xi) &= \int_0^\infty e^{-(\lambda+|\xi|^2)t} \hat{f}(O(t)\xi) dt \\ &= \frac{1}{D(\xi)} \int_0^{2\pi} e^{-(\lambda+|\xi|^2)t} \hat{f}(O(t)\xi) dt,\end{aligned}$$

where

$$D(\xi) = 1 - e^{-2\pi(\lambda+|\xi|^2)}$$

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**Note:**

$$D(\xi) \neq 0 \quad \forall \xi \quad \Leftrightarrow \quad \operatorname{Re} \lambda > 0 \quad \text{or} \quad \operatorname{Re} \lambda \leq 0, \operatorname{Im} \lambda \notin \mathbb{Z}$$

$$\Leftrightarrow \lambda \notin \mathcal{H}_\omega = \bigcup_{k \in \mathbb{Z}} \left( (-\infty, 0] - ik \right)$$

## Lemma 1 (N-F 2007, N-N-F 2007)

Let  $1 < q < \infty$

- $\lambda \notin \mathcal{H}_\omega \Rightarrow \lambda \in \rho(-A_\omega)$
- $(A_{q,\omega})^* = A_{q',-\omega}, \quad \mathcal{D}((A_{q,\omega})^*) = \mathcal{D}(A_{q',\omega})$
- $\sigma(-A_\omega) = \mathcal{H}_\omega$

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- $(A_{q,\omega})^* = A_{q',-\omega}, \quad \mathcal{D}((A_{q,\omega})^*) = \mathcal{D}(A_{q',\omega})$
- $\sigma(-A_\omega) = \mathcal{H}_\omega = \sigma_{ess}(-A_\omega)$
- $q = 2, \Omega = \mathbb{R}^3 \Rightarrow \sigma(-A_\omega) = \mathcal{H}_\omega = \sigma_c(-A_\omega)$

**Proof:** First assertion: Multiplier theory for  $\mathbb{R}^3$

**Question:** What type of spectrum do we have?



**Prove for  $\Omega = \mathbb{R}^3$  that**

$$\sigma(-A_\omega) = \sigma_c(-A_\omega) = \mathcal{H}_\omega \quad \text{for all } q \in (1, \infty)$$

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## Spectra of $\Delta$ , $A_0$ , $A_\omega$ in $L^q(\mathbb{R}^n)$

**Theorem 1** (N-N-F 2009) Consider  $\Omega = \mathbb{R}^n$ ,  $1 < q < \infty$

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- $(-\infty, 0) \subset \begin{cases} \sigma_r(\Delta), & 1 < q < \frac{2n}{n+1} \\ \sigma_c(\Delta), & \frac{2n}{n+1} \leq q \leq \frac{2n}{n-1} \quad (\frac{3}{2} \leq q \leq 3, n = 3) \\ \sigma_p(\Delta), & \frac{2n}{n-1} < q \end{cases}$

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- Same result for the Stokes operator  $-A_0$  ( $\omega = 0$ ) and for  $-A_\omega$  (with the set  $\mathcal{H}_\omega$  instead of  $(-\infty, 0]$ )

## Spectrum in $L^q(\Omega)$

**Theorem 2** (N-N-F 2009) Consider an exterior domain  $\Omega \subset \mathbb{R}^3$ ,  
 $1 < q < \infty$

- $\sigma_{ess}(-A_\omega) = \mathcal{H}_\omega$
- $\Omega$  axially symmetric  $\Rightarrow \sigma(-A_\omega) = \sigma_{ess}(-A_\omega) = \mathcal{H}_\omega$



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- $\sigma_{ess}(-A_\omega) = \mathcal{H}_\omega$
- $\Omega$  axially symmetric  $\Rightarrow \sigma(-A_\omega) = \sigma_{ess}(-A_\omega) = \mathcal{H}_\omega$
- $\Omega$  **not** axially symmetric  $\Rightarrow \sigma(-A_\omega) \setminus \mathcal{H}_\omega$  may contain isolated eigenvalues of finite multiplicities in the open left half plane
- Such eigenvalues, if they do exist, are independent of  $q \in (1, \infty)$ , their multiplicity is independent of  $q$ , and the corresponding eigenfunctions lie in  $\bigcap_{1 < q < \infty} \mathcal{D}(A_{q,\omega})$

## First Ideas

- Reduce  $\lambda \in \mathcal{H}_\omega$  with  $\text{Im } \lambda = k$ ,  $k \in \mathbb{Z}$ , to  $k = 0$ :

$$(\lambda + A_\omega)(iR'_1 + R'_2)^k = (iR'_1 + R'_2)^k(\lambda + ik + A_\omega)$$

with the partial Riesz transforms  $R'_1, R'_2 \Rightarrow (iR'_1 + R'_2)^k \sim e^{-ik\varphi}$

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- If  $1 < q \leq 2$  and  $\lambda < 0$ , then  $\lambda \notin \sigma_p$ . By analogy,  $\lambda \notin \sigma_r$  for  $q \geq 2$   
*Proof:* Assume  $(\lambda + |\xi|^2)\hat{u} = 0$ . Since  $q \leq 2$ ,  $\hat{u} \in L^{q'}(\mathbb{R}^n)$   
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- If  $1 < q \leq 2$  and  $\lambda < 0$ , then  $\lambda - \Delta$  is not surjective

*Proof:* Assume  $\lambda = -1$ . Choose  $\hat{f} \in C_0^\infty$  equal to 1 near the unit surface  $|\xi|^2 = 1$  and let  $(-1 - \Delta)u = f$

$\Rightarrow (-1 + |\xi|^2)\hat{u} = \hat{f}$  (in  $L^{q'}$ )

$\Rightarrow |\hat{u}(\xi)| \geq \frac{1}{2(1-|\xi|)}$  for  $|\xi| \sim 1 \Rightarrow \hat{u} \notin L^{q'}$

## Eigenvalues

Let  $\hat{j}_n = \chi_{\partial B_1(0)} \Rightarrow (-1 + |\xi|^2)\hat{j}_n = 0$ ,  $(-1 - \Delta)j_n = 0 \Rightarrow j_n(x) = cr^{(2-n)/2}J_{(n-2)/2}(r)$  with the Bessel function

$$J_\mu(x) = \sum_{m=0}^{\infty} (-1)^m \frac{(r/2)^{(\mu+m)}}{m! \Gamma(\mu + m + 1)}$$

**Example:**  $n = 3 \Rightarrow j_3(x) = c \frac{\sin r}{r}$

$$-1 \text{ is eigenvalue} \Leftrightarrow j_n \in L^q(\mathbb{R}^n) \Leftrightarrow q > \frac{2n}{n-1}$$

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The functions  $j_n, \partial_1 j_n, \dots, \partial_1^k j_n$  are linearly independent eigenfunctions  $\Rightarrow \text{mult}(-1) = \infty \Rightarrow$

$$(-\infty, 0) = \sigma_p \text{ for } q > \frac{2n}{n-1} \quad \text{and} \quad (-\infty, 0) = \sigma_r \text{ for } 1 < q < \frac{2n}{n+1}$$

## Continuous Spectrum

**Assertion** Let  $\frac{2n}{n+1} \leq q \leq \frac{2n}{n-1}$ . Then  $-1 \in \sigma_c(\Delta)$

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$$0 = \langle (-1 - \Delta)u, f \rangle \quad \forall u \in \mathcal{D}(\Delta)$$

$\Rightarrow \text{supp } \hat{f} \subset \partial B_1$

**Show that  $f = 0$**

If  $\hat{f} = c\chi_{\partial B_1}$ , i.e.,  $f = cj_n \Rightarrow c = 0$  since  $j_n \notin L^{q'}(\mathbb{R}^n)$  for  $\frac{2n}{n+1} \leq q' \leq \frac{2n}{n-1}$ .



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However,  $f$  may not be radially symmetric (e.g.  $f = \partial_1 j_n$ )  
(... take radial averages ... and ... Lebesgue's Differentiation Theorem to prove  $f = 0$ ...)

$\Rightarrow \mathcal{R}(-1 - \Delta)$  is dense in  $L^q \Rightarrow -1 \in \sigma_c(\Delta)$

## Exterior Domains $\Omega$

No explicit construction!

**Note** :  $\lambda \in \sigma_{ess} \Leftrightarrow \text{nul}'(\lambda + A_\omega) = \infty$  and

$$\text{def}'(\lambda + A_\omega) := \text{nul}'(\lambda + (A_\omega)') = \infty$$

**Note** :  $\text{nul}'(\lambda + A_\omega) = \infty \Leftrightarrow$

$$\exists (v_m) \subset \mathcal{D}(A_\omega) \text{ noncompact} : \|v_m\|_q = 1, \|(\lambda + A_\omega)v_m\|_q \rightarrow 0$$

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**Theorem** For  $1 < q < \infty$  we get  $\sigma_{ess}(A_\omega) = \mathcal{H}_\omega$

Non-/Existence of additional eigenvalues is open.

## Oseen Case

**Theorem** (Neustupa-F. 2009) Let  $1 < q < \infty$ .

- Let  $\Omega = \mathbb{R}^3$ . Then  $\sigma(-A_{\omega,k}) = \sigma_c(-A_{\omega,k})$  consists of an infinite set,  $\mathcal{P}_{\omega,k}$ , of parabola in the left half plane (replacing  $(-\infty, 0] + ik$ ,  $k \in \mathbb{Z}$ )
- Let  $\Omega$  be an exterior domain. Then  $\sigma_{ess}(-A_{\omega,k}) = \mathcal{P}_{\omega,k}$

**Idea of Proof**  $u \in L^q_\sigma(\mathbb{R}^3)$  be an eigenfunction for  $\lambda \in \mathbb{C}$  with  $\text{Re } \lambda < 0$ . Then  $\text{supp } \hat{u}$  is a union of finitely many circles in  $\mathbb{R}^3$  parallel to the  $\xi_2, \xi_3$ -plane  $\Rightarrow \dots \Rightarrow u \notin L^q(\mathbb{R}^3)$







Thank you very much for your attention!