
The Dirichlet Laplace operator on Sobolev spaces of higher order



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Manuel Nesensohn
Fachbereich Mathematik
TU Darmstadt

Recall:

- ▶ For $s \geq 0$ and $1 < p < \infty$ is the H_p^s -realization of the Laplacian in the whole-space

$$\Delta_s: H_p^{s+2}(\mathbb{R}^n) \subset H_p^s(\mathbb{R}^n) \rightarrow H_p^s(\mathbb{R}^n), \quad u \mapsto \Delta u = - \sum_{j=1}^n \partial_j^2 u$$

a sectorial operator, admitting maximal regularity and a bounded \mathcal{H}^∞ -calculus.

Recall:

- ▶ For $s \geq 0$ and $1 < p < \infty$ is the H_p^s -realization of the Laplacian in the **whole-space**

$$\Delta_s: H_p^{s+2}(\mathbb{R}^n) \subset H_p^s(\mathbb{R}^n) \rightarrow H_p^s(\mathbb{R}^n), \quad u \mapsto \Delta u = - \sum_{j=1}^n \partial_j^2 u$$

a sectorial operator, admitting maximal regularity and a bounded \mathcal{H}^∞ -calculus.

- ▶ For $1 < p < \infty$ is the L_p -realization of the Dirichlet-Laplacian in the **half-space**

$$\Delta_{D,0}: H_p^2(\mathbb{R}_+^n) \cap H_{p,0}^1(\mathbb{R}_+^n) \subset L_p(\mathbb{R}_+^n) \rightarrow L_p(\mathbb{R}_+^n), \quad u \mapsto \Delta u$$

also a sectorial operator, admitting maximal regularity and a bounded \mathcal{H}^∞ -calculus.

This talk is about the H_p^1 -realization of the Dirichlet-Laplacian in the **half-space**

$$\Delta_{D,1} : H_\rho^3(\mathbb{R}_+^n) \cap H_{\rho,0}^1(\mathbb{R}_+^n) \subset H_\rho^1(\mathbb{R}_+^n) \rightarrow H_\rho^1(\mathbb{R}_+^n), \quad u \mapsto \Delta u.$$

This talk is about the H_p^1 -realization of the Dirichlet-Laplacian in the **half-space**

$$\Delta_{D,1} : H_p^3(\mathbb{R}_+^n) \cap H_{p,0}^1(\mathbb{R}_+^n) \subset H_p^1(\mathbb{R}_+^n) \rightarrow H_p^1(\mathbb{R}_+^n), \quad u \mapsto \Delta u.$$

The main results are:

- ▶ This operator is not the generator of a C_0 -semigroup, especially **not** a sectorial operator.

This talk is about the H_p^1 -realization of the Dirichlet-Laplacian in the **half-space**

$$\Delta_{D,1} : H_p^3(\mathbb{R}_+^n) \cap H_{p,0}^1(\mathbb{R}_+^n) \subset H_p^1(\mathbb{R}_+^n) \rightarrow H_p^1(\mathbb{R}_+^n), \quad u \mapsto \Delta u.$$

The main results are:

- ▶ This operator is not the generator of a C_0 -semigroup, especially **not** a sectorial operator.
- ▶ An **optimal** resolvent estimate is

$$\|\lambda(\lambda + \Delta_{D,1})^{-1}\|_{L(H_p^1(\mathbb{R}_+^n))} \leq C|\lambda|^{(p-1)/2p}, \quad \lambda \in \overline{\mathbb{C}_+} \setminus \{0\}.$$

This talk is about the H_p^1 -realization of the Dirichlet-Laplacian in the **half-space**

$$\Delta_{D,1} : H_p^3(\mathbb{R}_+^n) \cap H_{p,0}^1(\mathbb{R}_+^n) \subset H_p^1(\mathbb{R}_+^n) \rightarrow H_p^1(\mathbb{R}_+^n), \quad u \mapsto \Delta u.$$

The main results are:

- ▶ This operator is not the generator of a C_0 -semigroup, especially **not** a sectorial operator.
- ▶ An **optimal** resolvent estimate is

$$\|\lambda(\lambda + \Delta_{D,1})^{-1}\|_{L(H_p^1(\mathbb{R}_+^n))} \leq C|\lambda|^{(p-1)/2p}, \quad \lambda \in \overline{\mathbb{C}_+} \setminus \{0\}.$$

- ▶ A resolvent estimate in **parameter-dependent** norms.

This talk is about the H_p^1 -realization of the Dirichlet-Laplacian in the **half-space**

$$\Delta_{D,1} : H_p^3(\mathbb{R}_+^n) \cap H_{p,0}^1(\mathbb{R}_+^n) \subset H_p^1(\mathbb{R}_+^n) \rightarrow H_p^1(\mathbb{R}_+^n), \quad u \mapsto \Delta u.$$

The main results are:

- ▶ This operator is not the generator of a C_0 -semigroup, especially **not** a sectorial operator.
- ▶ An **optimal** resolvent estimate is

$$\|\lambda(\lambda + \Delta_{D,1})^{-1}\|_{L(H_p^1(\mathbb{R}_+^n))} \leq C|\lambda|^{(p-1)/2p}, \quad \lambda \in \overline{\mathbb{C}_+} \setminus \{0\}.$$

- ▶ A resolvent estimate in **parameter-dependent** norms.
- ▶ A positive result in spaces with **additional boundary conditions**.

Theorem

1. *There exists $C > 0$ such that for $\lambda \in \overline{\mathbb{C}_+} \setminus \{0\}$*

$$\|\lambda(\lambda + \Delta_{D,1})^{-1}\|_{L(H_p^1(\mathbb{R}_+^n))} \leq C|\lambda|^{(p-1)/2p}.$$

2. *There exists $f \in \mathcal{S}(\mathbb{R}_+^n)$ and $C(f), \lambda_0 > 0$ such that for $\lambda > \lambda_0$*

$$\|\lambda(\lambda + \Delta_{D,1})^{-1}f\|_{H_p^1(\mathbb{R}_+^n)} \geq C(f)\lambda^{(p-1)/2p}\|f\|_{H_p^1(\mathbb{R}_+^n)}.$$

Theorem

1. There exists $C > 0$ such that for $\lambda \in \overline{\mathbb{C}_+} \setminus \{0\}$

$$\|\lambda(\lambda + \Delta_{D,1})^{-1}\|_{L(H_p^1(\mathbb{R}_+^n))} \leq C|\lambda|^{(p-1)/2p}.$$

2. There exists $f \in \mathcal{S}(\mathbb{R}_+^n)$ and $C(f), \lambda_0 > 0$ such that for $\lambda > \lambda_0$

$$\|\lambda(\lambda + \Delta_{D,1})^{-1}f\|_{H_p^1(\mathbb{R}_+^n)} \geq C(f)\lambda^{(p-1)/2p}\|f\|_{H_p^1(\mathbb{R}_+^n)}.$$

The **resolvent problem** is given by:

$$(RHE)_f \begin{cases} (\lambda + \Delta)u = f & \text{in } \mathbb{R}_+^n, \\ u = 0 & \text{on } \mathbb{R}^{n-1}. \end{cases}$$

- An explicit **solution formula** of $(RHE)_f$ is:

$$u(x', x_n) = \int_0^\infty k_-(x_n, s) f(x', s) ds, \quad k_\pm(x_n, s) = \frac{e^{-\sqrt{\lambda + \Delta'_0} |x_n - s|} \pm e^{-\sqrt{\lambda + \Delta'_0} (x_n - s)}}{2\sqrt{\lambda + \Delta'_0}}.$$



- ▶ An explicit **solution formula** of $(RHE)_f$ is:

$$u(x', x_n) = \int_0^\infty k_-(x_n, s) f(x', s) ds, \quad k_\pm(x_n, s) = \frac{e^{-\sqrt{\lambda + \Delta'_0} |x_n - s|} \pm e^{-\sqrt{\lambda + \Delta'_0} (x_n - s)}}{2\sqrt{\lambda + \Delta'_0}}.$$

- ▶ To obtain estimates in H_p^1 we have to calculate the derivative of the solution:

$$\partial_n u(x', x_n) = k_+(x_n, 0) f(x', 0) + \int_0^\infty k_+(x_n, s) \partial_s f(x', s) ds.$$

- ▶ An explicit **solution formula** of $(RHE)_f$ is:

$$u(x', x_n) = \int_0^\infty k_-(x_n, s) f(x', s) ds, \quad k_\pm(x_n, s) = \frac{e^{-\sqrt{\lambda + \Delta'_0} |x_n - s|} \pm e^{-\sqrt{\lambda + \Delta'_0} (x_n - s)}}{2\sqrt{\lambda + \Delta'_0}}.$$

- ▶ To obtain estimates in H_p^1 we have to calculate the derivative of the solution:

$$\partial_n u(x', x_n) = k_+(x_n, 0) f(x', 0) + \int_0^\infty k_+(x_n, s) \partial_s f(x', s) ds.$$

- ▶ Using dual-pairing and Placherel's theorem, we can show the exists of $f \in \mathcal{S}(\mathbb{R}_+^n)$ and $C > 0$ such that for $\lambda > 0$

$$\left(\int_0^\infty \|k_+(x_n, 0) \gamma f\|_{L_p(\mathbb{R}^{n-1})}^p dx_n \right)^{1/p} \geq \frac{C(f)}{\lambda^{(p+1)/2p}} \|f\|_{H_p^1(\mathbb{R}_+^n)}.$$

Resolvent Estimate in Parameter-Dependent Norms



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Let $f \in H_{\rho}^k(\mathbb{R}_{+}^n)$. Define the parameter-dependent norm

$$\|f\|_{H_{\rho}^k(\mathbb{R}_{+}^n)} = |\lambda|^{k/2} \|f\|_{L_{\rho}(\mathbb{R}_{+}^n)} + \|f\|_{H_{\rho}^k(\mathbb{R}_{+}^n)}.$$

Let $f \in H_p^k(\mathbb{R}_+^n)$. Define the parameter-dependent norm

$$\|f\|_{H_p^k(\mathbb{R}_+^n)} = |\lambda|^{k/2} \|f\|_{L_p(\mathbb{R}_+^n)} + \|f\|_{H_p^k(\mathbb{R}_+^n)}.$$

Theorem

For $f \in H_p^k(\mathbb{R}_+^n)$ and $\lambda \in \overline{\mathbb{C}_+} \setminus \{0\}$ there exists a unique solution $u \in H_p^{k+2}(\mathbb{R}_+^n)$ of $(RHE)_f$ and constants $C_1, C_2 > 0$ (independent of f and λ) such that

$$C_1 \|f\|_{H_p^k(\mathbb{R}_+^n)} \leq \|u\|_{H_p^{k+2}(\mathbb{R}_+^n)} \leq C_2 \|f\|_{H_p^k(\mathbb{R}_+^n)}.$$

Thus for $k = 1$

$$|\lambda|^{1/2} \|f\|_{L_p(\mathbb{R}_+^n)} + \|f\|_{H_p^1(\mathbb{R}_+^n)} \leq |\lambda|^{3/2} \|u\|_{L_p(\mathbb{R}_+^n)} + \|u\|_{H_p^3(\mathbb{R}_+^n)} \leq |\lambda|^{1/2} \|f\|_{L_p(\mathbb{R}_+^n)} + \|f\|_{H_p^1(\mathbb{R}_+^n)}.$$

Resolvent Estimate in Parameter-Dependent Norms



TECHNISCHE
UNIVERSITÄT
DARMSTADT

- ▶ Define the scaling operator

$$J: H_p^k(\mathbb{R}_+^n) \rightarrow H_p^k(\mathbb{R}_+^n), \quad (Jf)(x) = f\left(\frac{x}{|\lambda|^{1/2}}\right).$$

Resolvent Estimate in Parameter-Dependent Norms



TECHNISCHE
UNIVERSITÄT
DARMSTADT

- ▶ Define the scaling operator

$$J: H_p^k(\mathbb{R}_+^n) \rightarrow H_p^k(\mathbb{R}_+^n), \quad (Jf)(x) = f\left(\frac{x}{|\lambda|^{1/2}}\right).$$

- ▶ For $\lambda \in \overline{\mathbb{C}_+} \setminus \{0\}$ and $\lambda_0 > 0$ there exists constants $C_1, C_2 > 0$ such that for $f \in H_p^k(\mathbb{R}_+^n)$

$$J((\lambda + \Delta_{D,1})^{-1}f) = \frac{1}{|\lambda|} \left(\frac{\lambda}{|\lambda|} + \Delta_{D,1}\right)^{-1} Jf$$

and $|\lambda| > \lambda_0$

$$C_1 \|Jf\|_{H_p^k(\mathbb{R}_+^n)} \leq |\lambda|^{n/(2p)-k/2} \|f\|_{H_p^k(\mathbb{R}_+^n)} \leq C_2 \|Jf\|_{H_p^k(\mathbb{R}_+^n)}.$$

- ▶ Define the scaling operator

$$J: H_p^k(\mathbb{R}_+^n) \rightarrow H_p^k(\mathbb{R}_+^n), \quad (Jf)(x) = f\left(\frac{x}{|\lambda|^{1/2}}\right).$$

- ▶ For $\lambda \in \overline{\mathbb{C}_+} \setminus \{0\}$ and $\lambda_0 > 0$ there exists constants $C_1, C_2 > 0$ such that for $f \in H_p^k(\mathbb{R}_+^n)$

$$J((\lambda + \Delta_{D,1})^{-1}f) = \frac{1}{|\lambda|} \left(\frac{\lambda}{|\lambda|} + \Delta_{D,1}\right)^{-1} Jf$$

and $|\lambda| > \lambda_0$

$$C_1 \|Jf\|_{H_p^k(\mathbb{R}_+^n)} \leq |\lambda|^{n/(2p)-k/2} \|f\|_{H_p^k(\mathbb{R}_+^n)} \leq C_2 \|Jf\|_{H_p^k(\mathbb{R}_+^n)}.$$

- ▶ Use the estimate for $|\lambda| = 1$ and the scaling properties.

Result in Spaces with Additional Boundary Conditions



Theorem

Let $s < 1/p$. The operators

$$\begin{aligned} \{f \in H_p^3(\mathbb{R}_+^n) : \gamma f = \gamma \Delta f = 0\} &\subset H_{p,0}^1(\mathbb{R}_+^n) \rightarrow H_{p,0}^1(\mathbb{R}_+^n), & f &\mapsto \Delta f \\ \{f \in H_p^{s+2}(\mathbb{R}_+^n) : \gamma f = 0\} &\subset H_p^s(\mathbb{R}_+^n) \rightarrow H_p^s(\mathbb{R}_+^n), & f &\mapsto \Delta f \end{aligned}$$

are sectorial operators.

Result in Spaces with Additional Boundary Conditions



Theorem

Let $s < 1/p$. The operators

$$\begin{aligned} \{f \in H_p^3(\mathbb{R}_+^n) : \gamma f = \gamma \Delta f = 0\} &\subset H_{p,0}^1(\mathbb{R}_+^n) \rightarrow H_{p,0}^1(\mathbb{R}_+^n), & f &\mapsto \Delta f \\ \{f \in H_p^{s+2}(\mathbb{R}_+^n) : \gamma f = 0\} &\subset H_p^s(\mathbb{R}_+^n) \rightarrow H_p^s(\mathbb{R}_+^n), & f &\mapsto \Delta f \end{aligned}$$

are sectorial operators.

Let X, Y be Banach spaces with $D(A) \xrightarrow{d} Y \xrightarrow{d} X$ and A a sectorial operator in X . We define the **Y -realization A_Y** of the linear operator A :

$$A_Y: Y \rightarrow Y, \quad D(A_Y) = \{x \in D(A) \cap Y : Ax \in Y\}, \quad A_Y x = Ax.$$

Result in Spaces with Additional Boundary Conditions



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Define:

▶ $X_k \stackrel{\text{def}}{=} D(A^k)$ and $X_{k+\theta} \stackrel{\text{def}}{=} [X_k, X_{k+1}]_\theta$.

Result in Spaces with Additional Boundary Conditions



Define:

- ▶ $X_k \stackrel{\text{def}}{=} D(A^k)$ and $X_{k+\theta} \stackrel{\text{def}}{=} [X_k, X_{k+1}]_\theta$.
- ▶ $A_k \stackrel{\text{def}}{=} A_{k-1} \upharpoonright_{X_k}$ and $A_{k+\theta} \stackrel{\text{def}}{=} A_k \upharpoonright_{X_{k+\theta}}$.

Result in Spaces with Additional Boundary Conditions

Define:

- ▶ $X_k \stackrel{\text{def}}{=} D(A^k)$ and $X_{k+\theta} \stackrel{\text{def}}{=} [X_k, X_{k+1}]_\theta$.
- ▶ $A_k \stackrel{\text{def}}{=} A_{k-1} \upharpoonright_{X_k}$ and $A_{k+\theta} \stackrel{\text{def}}{=} A_k \upharpoonright_{X_{k+\theta}}$.

Then: $A_\alpha : D(A_\alpha) = X_{\alpha+1} \subset X_\alpha \rightarrow X_\alpha$ is a sectorial operator.

If $A \in \mathcal{BIP}(X_0)$, then

$$X_\alpha = D(A^\alpha).$$

Result in Spaces with Additional Boundary Conditions

Define:

- ▶ $X_k \stackrel{\text{def}}{=} D(A^k)$ and $X_{k+\theta} \stackrel{\text{def}}{=} [X_k, X_{k+1}]_\theta$.
- ▶ $A_k \stackrel{\text{def}}{=} A_{k-1} \upharpoonright_{X_k}$ and $A_{k+\theta} \stackrel{\text{def}}{=} A_k \upharpoonright_{X_{k+\theta}}$.

Then: $A_\alpha : D(A_\alpha) = X_{\alpha+1} \subset X_\alpha \rightarrow X_\alpha$ is a sectorial operator.

If $A \in \mathcal{BIP}(X_0)$, then

$$X_\alpha = D(A^\alpha).$$

Thus

$$\Delta_{0,D,1/2} : D(\Delta_{0,D}^{3/2}) \subset D(\Delta_{0,D}^{1/2}) \rightarrow D(\Delta_{0,D}^{1/2}), \quad u \mapsto \Delta u$$

is a sectorial operator.

Result in Spaces with Additional Boundary Conditions

Define:

- ▶ $X_k \stackrel{\text{def}}{=} D(A^k)$ and $X_{k+\theta} \stackrel{\text{def}}{=} [X_k, X_{k+1}]_\theta$.
- ▶ $A_k \stackrel{\text{def}}{=} A_{k-1} \upharpoonright_{X_k}$ and $A_{k+\theta} \stackrel{\text{def}}{=} A_k \upharpoonright_{X_{k+\theta}}$.

Then: $A_\alpha : D(A_\alpha) = X_{\alpha+1} \subset X_\alpha \rightarrow X_\alpha$ is a sectorial operator.

If $A \in \mathcal{BIP}(X_0)$, then

$$X_\alpha = D(A^\alpha).$$

Thus

$$\Delta_{0,D,1/2} : D(\Delta_{0,D}^{3/2}) \subset D(\Delta_{0,D}^{1/2}) \rightarrow D(\Delta_{0,D}^{1/2}), \quad u \mapsto \Delta u$$

is a sectorial operator.

$$D(\Delta_{D,0}^{k+\frac{1}{2}}) = [D(\Delta_{D,0}^2), \Delta_{D,0}]_{1/2} = \begin{cases} \{f \in H_p^1 : \gamma f = 0\}, & k = 0 \\ \{f \in H_p^3 : \gamma f \gamma \Delta f = 0\}, & k = 1 \end{cases}$$



THANK YOU FOR YOUR ATTENTION