

# Weighted Trudinger-Moser type inequality and its application

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**joint work with Michinori Ishiwata and Makoto Nakamura**

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# Contents

- Best constant of **Weighted Trudinger-Moser inequality**
- Asymptotic best constant of **Caffarelli-Kohn-Nirenberg inequality**
- Application to **Klein-Gordon equation** with weighted exponential type nonlinearity

## Trudinger (1967), Moser (1971)

$n \geq 2$ ,  $\exists C_n > 0$  s.t.

$$\int_{\Omega} \exp\left(\alpha |u(x)|^{n'}\right) dx \leq C_n |\Omega| \quad (1)$$

for  $\forall u \in H_0^{1,n}(\Omega)$  with  $\|\nabla u\|_{L^n(\Omega)} \leq 1$ ,

$\forall \Omega$  : **bounded**,  $0 < \forall \alpha \leq n\omega_{n-1}^{\frac{1}{n-1}}$ , where  $\omega_{n-1} = |S_{n-1}|$ .

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- If  $\alpha > n\omega_{n-1}^{\frac{1}{n-1}}$ , then (1) fails.
- (1) has been **generalized** by many authors :
  - Adams (1998)  $\rightarrow H_0^{m, \frac{n}{m}}(\Omega)$  with **best constant**
  - Ogawa (1990), Ogawa-Ozawa (1991)  $\rightarrow H^{\frac{n}{2}, 2}(\mathbb{R}^n)$
  - Ozawa (1995)  $\rightarrow H_p^{\frac{n}{p}, p}(\mathbb{R}^n)$
  - Adachi-Tanaka (1999)  $\rightarrow H^{1,n}(\mathbb{R}^n)$  with **best constant**

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## Adachi-Tanaka (1999)

(i)  $n \geq 2$ ,  $0 < \forall \alpha < n\omega_{n-1}^{\frac{1}{n-1}}$ ,  $\exists C_{n,\alpha} > 0$  s.t.

$$\int_{\mathbb{R}^n} \Phi_n \left( \alpha |u(x)|^{n'} \right) dx \leq C_{n,\alpha} \|u\|_{L^n(\mathbb{R}^n)}^n \quad (2)$$

for  $\forall u \in H^{1,n}(\mathbb{R}^n)$  with  $\|\nabla u\|_{L^n(\mathbb{R}^n)} \leq 1$ ,

where  $\Phi_n(t) := \sum_{j=n-1}^{\infty} \frac{t^j}{j!}$  for  $t \geq 0$ .

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- Sobolev embedding:  $H^{1,n}(\mathbb{R}^n) \hookrightarrow L^q(\mathbb{R}^n)$  for  $n \leq \forall q < \infty$ .
- $\int_{\mathbb{R}^n} \Phi_n \left( \alpha |u(x)|^{n'} \right) dx = \sum_{j=n-1}^{\infty} \frac{\alpha^j}{j!} \|u\|_{L^{n'j}(\mathbb{R}^n)}^{n'j}$ .



## Caffarelli-Kohn-Nirenberg (1984)

$n \geq 2$ ,  $\tilde{s} \leq s < n$ ,  $n \leq q < \infty$ ,  $\exists C_{n,s,\tilde{s},q} > 0$  s.t.

$$\|u\|_{L^q(|x|^{-s})} \leq C_{n,s,\tilde{s},q} \|u\|_{L^n(|x|^{-\tilde{s}})}^{\frac{n(n-s)}{q(n-\tilde{s})}} \|\nabla u\|_{L^n(\mathbb{R}^n)}^{1 - \frac{n(n-s)}{q(n-\tilde{s})}} \quad (3)$$

for  $\forall u \in L^n(|x|^{-\tilde{s}}) \cap \dot{H}^{1,n}(\mathbb{R}^n)$ ,

where  $\|u\|_{L^n(|x|^{-\tilde{s}})} := \left( \int_{\mathbb{R}^n} |u(x)|^n |x|^{-\tilde{s}} dx \right)^{\frac{1}{n}}$ .

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- $s = \tilde{s} = 0$  in (3) implies  $H^{1,n}(\mathbb{R}^n) \hookrightarrow L^q(\mathbb{R}^n)$   
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for  $n \leq \forall q < \infty$ .
- First goal is to establish **weighted Trudinger-Moser inequality** corresponding to (3).

## Theorem 1.

(i)  $n \geq 2$ ,  $\tilde{s} \leq s < n$ ,  $0 < \forall \alpha < (n-s)\omega_{n-1}^{\frac{1}{n-1}}$ ,  $\exists C_{n,s,\tilde{s},\alpha} > 0$  s.t.

$$\int_{\mathbb{R}^n} \Phi_n \left( \alpha |u(x)|^{n'} \right) \frac{dx}{|x|^s} \leq C_{n,s,\tilde{s},\alpha} \|u\|_{L^n(|x|^{-\tilde{s}})}^{\frac{n(n-s)}{n-\tilde{s}}} \quad (4)$$

for **radial**  $\forall u \in L^n(|x|^{-\tilde{s}}) \cap \dot{H}^{1,n}(\mathbb{R}^n)$  with  $\|\nabla u\|_{L^n(\mathbb{R}^n)} \leq 1$ .

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- $(n-s)\omega_{n-1}^{\frac{1}{n-1}}$  is **best constant** of (4).
- If  $\tilde{s} = 0$ , **radial** condition can be removed by **rearrangement**.

## Rearrangement inequalities

Let  $n \geq 2$ ,  $0 \leq s < n$ ,  $1 \leq q < \infty$ ,  $\alpha > 0$ ,

and let  $u^*$  be **rearrangement** of  $u$ .

$$\left\{ \begin{array}{l} \int_{\mathbb{R}^n} |u(x)|^q |x|^{-s} dx \leq \int_{\mathbb{R}^n} |u^*(x)|^q |x|^{-s} dx, \\ \int_{\mathbb{R}^n} |\nabla u^*(x)|^2 dx \leq \int_{\mathbb{R}^n} |\nabla u(x)|^2 dx, \\ \int_{\mathbb{R}^n} \Phi_n \left( \alpha |u(x)|^{n'} \right) |x|^{-s} dx \leq \int_{\mathbb{R}^n} \Phi_n \left( \alpha |u^*(x)|^{n'} \right) |x|^{-s} dx. \end{array} \right.$$



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### Corollary of Theorem 1.

$n \geq 2$ ,  $0 \leq s < n$ ,  $0 < \forall \alpha < (n-s)\omega_{n-1}^{\frac{1}{n-1}}$ ,  $\exists C_{n,s,\alpha} > 0$  s.t.

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for  $\forall u \in H^{1,n}(\mathbb{R}^n)$  with  $\|\nabla u\|_{L^n(\mathbb{R}^n)} \leq 1$ .

# Comments on proof of Theorem 1.

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Proof of (i) For  $s < n$ ,  $0 < \alpha < (n-s)\omega_{n-1}^{\frac{1}{n-1}}$ ,

$$\int_{\mathbb{R}^n} \Phi_n \left( \alpha |u(x)|^{n'} \right) \frac{dx}{|x|^s} \leq C_{n,s,\alpha} \|u\|_{L^n(|x|^{-s})}^n \quad (5)$$

for **radial**  $u \in L^n(|x|^{-s}) \cap \dot{H}^{1,n}(\mathbb{R}^n)$  with  $\|\nabla u\|_{L^n(\mathbb{R}^n)} \leq 1$ .

(5) is **equivalent** to **non-singular** case  $s = 0$  by scaling

$$v(x) := \left( \frac{n-s}{n} \right)^{\frac{n-1}{n}} \tilde{u}(|x|^{\frac{n}{n-s}}) \quad \text{for } \tilde{u}(|x|) = u(x) \quad \text{for } x \in \mathbb{R}^n.$$

$$\begin{cases} \|\nabla u\|_{L^n(\mathbb{R}^n)} = \|\nabla v\|_{L^n(\mathbb{R}^n)}, & \|u\|_{L^n(|x|^{-s})} = \frac{n}{n-s} \|u\|_{L^n(\mathbb{R}^n)}, \\ \int_{\mathbb{R}^n} \Phi_n \left( \alpha |u(x)|^{n'} \right) \frac{dx}{|x|^s} = \frac{n}{n-s} \int_{\mathbb{R}^n} \Phi_n \left( \frac{n}{n-s} \alpha |u(x)|^{n'} \right) dx, \end{cases}$$

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and note  $0 < \frac{n}{n-s} \alpha < n \omega_{n-1}^{\frac{1}{n-1}}$ .

- Equation (5) + Caffarelli-Kohn-Nirenberg inequality  $\rightarrow$  Theorem 1 (i).

Proof of (ii) Define  $\{u_k\}_{k \in \mathbb{N}} \subset L^n(|x|^{-\tilde{s}}) \cap \dot{H}^{1,n}(\mathbb{R}^n)$  by

$$u_k(x) = \begin{cases} 0 & \text{if } |x| \geq 1, \\ \left(\frac{n-s}{\omega_{n-1}k}\right)^{\frac{1}{n}} \log\left(\frac{1}{|x|}\right) & \text{if } e^{-\frac{k}{n-s}} < |x| < 1, \\ \left(\frac{1}{\omega_{n-1}}\right)^{\frac{1}{n}} \left(\frac{k}{n-s}\right)^{\frac{1}{n'}} & \text{if } 0 \leq |x| \leq e^{-\frac{k}{n-s}}. \end{cases}$$

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Then we see  $\|\nabla u_k\|_{L^n(\mathbb{R}^n)} = 1$  for all  $k \in \mathbb{N}$ , and

$$\frac{\int_{\mathbb{R}^n} \Phi_n \left( (n-s)\omega_{n-1}^{\frac{1}{n-1}} |u_k(x)|^{n'} \right) \frac{dx}{|x|^{\tilde{s}}}}{\|u_k\|_{L^n(|x|^{-\tilde{s}})}^{\frac{n(n-s)}{n-\tilde{s}}}} \rightarrow \infty$$

as  $k \rightarrow \infty$ , which implies (4) fails if  $\alpha = (n-s)\omega_{n-1}^{\frac{1}{n-1}}$ .



# Asymptotic best-constant of Caffarelli-Kohn-Nirenberg inequality

## Theorem 2.

(i)  $n \geq 2$ ,  $\tilde{s} \leq s < n$ ,  $\forall \beta > \left( \frac{n-1}{en(n-s)\omega_{n-1}^{\frac{1}{n-1}}} \right)^{\frac{n-1}{n}}$ ,  $\exists r_{n,s,\tilde{s},\beta} \geq n$  s.t.

$$\|u\|_{L^q(|x|^{-s})} \leq \beta q^{\frac{1}{n'}} \|u\|_{L^n(|x|^{-\tilde{s}})}^{\frac{n(n-s)}{q(n-\tilde{s})}} \|\nabla u\|_{L^n(\mathbb{R}^n)}^{1 - \frac{n(n-s)}{q(n-\tilde{s})}} \quad (6)$$

for **radial**  $\forall u \in L^n(|x|^{-\tilde{s}}) \cap \dot{H}^{1,n}(\mathbb{R}^n)$  and  $r_{n,s,\tilde{s},\beta} \leq \forall q < \infty$ .

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for **radial**  $\forall u \in L^n(|x|^{-\tilde{s}}) \cap \dot{H}^{1,n}(\mathbb{R}^n)$  and  $r_{n,s,\tilde{s},\beta} \leq \forall q < \infty$ .

(ii) If  $0 < \forall \beta < \left( \frac{n-1}{en(n-s)\omega_{n-1}^{\frac{1}{n-1}}} \right)^{\frac{n-1}{n}}$ , then (6) fails

in the above **asymptotic** sense.

## Comments on proof of Theorem 2.

$\alpha_0 := \sup\{\alpha > 0 \mid \exists C_{n,s,\tilde{s},\alpha} > 0 \text{ s.t.}$

$$\int_{\mathbb{R}^n} \Phi_n \left( \alpha |u(x)|^{n'} \right) \frac{dx}{|x|^s} \leq C_{n,s,\tilde{s},\alpha} \|u\|_{L^n(|x|^{-\tilde{s}})}^{\frac{n(n-s)}{n-\tilde{s}}}$$

for **radial**  $u \in L^n(|x|^{-\tilde{s}}) \cap \dot{H}^{1,n}(\mathbb{R}^n)$  with  $\|\nabla u\|_{L^n(\mathbb{R}^n)} \leq 1$ .)

$\beta_0 := \inf\{\beta > 0 \mid \exists r_{n,s,\tilde{s},\beta} \geq n \text{ s.t.}$

$$\|u\|_{L^q(|x|^{-s})} \leq \beta q^{\frac{1}{n'}} \|u\|_{L^n(|x|^{-\tilde{s}})}^{\frac{n(n-s)}{q(n-\tilde{s})}} \|\nabla u\|_{L^n(\mathbb{R}^n)}^{1 - \frac{n(n-s)}{q(n-\tilde{s})}}$$

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**Exact relation** between  $\alpha_0$  and  $\beta_0$  :

$$\beta_0 = \left( \frac{1}{en' \alpha_0} \right)^{\frac{1}{n'}}. \quad (7)$$

On the other hand, since  $\alpha_0 = (n-s)\omega_{n-1}^{\frac{1}{n-1}}$  by **Theorem 1**,

$$\beta_0 = \left( \frac{n-1}{en(n-s)\omega_{n-1}^{\frac{1}{n-1}}} \right)^{\frac{n-1}{n}}.$$

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- For **non-singular** case  $s = \tilde{s} = 0$ , (7) was proved by Ozawa (1997).

**Theorem 3.**

Let  $0 \leq s < 1$  and  $(f, g) \in H^{1,2}(\mathbb{R}^2) \times L^2(\mathbb{R}^2)$  with  $\|\nabla f\|_{L^2(\mathbb{R}^2)} < 1$ .

$$\begin{cases} \square u + u = -\frac{u}{|x|^s} \left( e^{4(1-s)\pi u^2} - 1 \right) & \text{in } (0, T) \times \mathbb{R}^2, \\ u(0, \cdot) = f \quad \text{and} \quad \partial_t u(0, \cdot) = g & \text{in } \mathbb{R}^2 \end{cases}$$

has **unique local solution** for some  $T = T(\|f\|_{L^2(\mathbb{R}^2)}, \|g\|_{L^2(\mathbb{R}^2)}) > 0$  in the class

$$\|u\|_{X_T} := \sup_{0 < t < T} (\|u(t, \cdot)\|_{H^{1,2}(\mathbb{R}^2)} + \|\partial_t u(t, \cdot)\|_{L^2(\mathbb{R}^2)}) + \|u\|_{L^4(0, T; C^{\frac{1}{4}}(\mathbb{R}^2))}.$$

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- **Non-singular** case  $s = 0$  in Theorem 3 was proved by Ibrahim-Majdoub-Masmoudi (2006).

# Comments on proof of Theorem 3.

We decompose solution  $u = v + v_0$ , where

$$\left\{ \begin{array}{l} \square v + v = -\frac{v+v_0}{|x|^s} \left( e^{4(1-s)\pi(v+v_0)^2} - 1 \right) \quad \text{in } (0, T) \times \mathbb{R}^2, \\ v(0, \cdot) = \partial_t v(0, \cdot) = 0 \quad \text{in } \mathbb{R}^2, \end{array} \right.$$

$$\left\{ \begin{array}{l} \square v_0 + v_0 = 0 \quad \text{in } (0, T) \times \mathbb{R}^2, \\ v_0(0, \cdot) = f \quad \text{and} \quad \partial_t v_0(0, \cdot) = g \quad \text{in } \mathbb{R}^2. \end{array} \right.$$



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$v_0$  can be solved explicitly by

$$v_0(t, x) = \cos(t\sqrt{1-\Delta})f(x) + \frac{\sin(t\sqrt{1-\Delta})}{\sqrt{1-\Delta}}g(x).$$

Our function space :  $X_T(\delta) := \{v \in X_T \mid \|v\|_{X_T} \leq \delta\}$

for  $T > 0$  and  $\delta > 0$ , where

$$\|u\|_{X_T} := \sup_{0 < t < T} (\|u(t, \cdot)\|_{H^{1,2}(\mathbb{R}^2)} + \|\partial_t u(t, \cdot)\|_{L^2(\mathbb{R}^2)}) + \|u\|_{L^4(0, T; C^{\frac{1}{4}}(\mathbb{R}^2))}$$

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To apply **fixed point theorem**, define a map  $\Phi(v)$  for  $v \in X_T(\delta)$  by

$$\begin{cases} \square \Phi(v) + \Phi(v) = -\frac{v+v_0}{|x|^s} \left( e^{4(1-s)\pi(v+v_0)^2} - 1 \right) & \text{in } (0, T) \times \mathbb{R}^2, \\ \Phi(v)(0, \cdot) = \partial_t \Phi(v)(0, \cdot) = 0 & \text{on } \mathbb{R}^2. \end{cases} \quad (8)$$

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Our goal :  $\Phi$  becomes **contraction** from  $X_T(\delta)$  to  $X_T(\delta)$

for sufficiently small  $T$  and  $\delta$ .

- **Energy estimate** for (8):

$$\begin{aligned} & \sup_{0 < t < T} (\|\Phi(v)(t, \cdot)\|_{H^{1,2}(\mathbb{R}^2)} + \|\partial_t \Phi(v)(t, \cdot)\|_{L^2(\mathbb{R}^2)}) \\ & \leq C \left\| \frac{v + v_0}{|x|^s} \left( e^{4(1-s)\pi(v+v_0)^2} - 1 \right) \right\|_{L^1(0, T; L^2(\mathbb{R}^2))} \end{aligned}$$

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- **Strichartz estimate** for (8) by Ginibre-Velo (1995) :

$$\|\Phi(v)\|_{L^4\left(0, T; C^{\frac{1}{4}}(\mathbb{R}^2)\right)} \leq C \left\| \frac{v + v_0}{|x|^s} \left( e^{4(1-s)\pi(v+v_0)^2} - 1 \right) \right\|_{L^1(0, T; L^2(\mathbb{R}^2))} .$$

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**Combining Energy estimate with Strichartz estimate,**

$$\|u\|_{X_T} \leq C \left\| \frac{v + v_0}{|x|^s} \left( e^{4(1-s)\pi(v+v_0)^2} - 1 \right) \right\|_{L^1(0, T; L^2(\mathbb{R}^2))}.$$

By Hölder and Sobolev inequalities, for any  $\varepsilon > 0$  and  $0 < t < T$ ,

$$\begin{aligned}
 & \left\| \frac{v + v_0}{|x|^s} \left( e^{4(1-s)\pi(v+v_0)^2} - 1 \right) \right\|_{L^2(\mathbb{R}^2)}^2 \\
 & \leq \| (v + v_0)^2 \|_{L^{(1+\varepsilon)' }(\mathbb{R}^2)} \left\| \left( \frac{e^{4(1-s)\pi(v+v_0)^2}}{|x|^s} - 1 \right) \right\|_{L^{1+\varepsilon}(\mathbb{R}^2)}^2 \\
 & = \| v + v_0 \|_{L^{2+\frac{2}{\varepsilon}}(\mathbb{R}^2)}^2 \left\| \left( e^{4(1-s)\pi(v+v_0)^2} - 1 \right) \left( \frac{e^{4(1-s)\pi(v+v_0)^2} - 1}{|x|^{2s}} \right) \right\|_{L^{1+\varepsilon}(\mathbb{R}^2)} \\
 & \leq C \| v + v_0 \|_{H^{1,2}(\mathbb{R}^2)}^2 e^{4(1-s)\pi \| v + v_0 \|_{L^\infty(\mathbb{R}^2)}^2} \left\| \frac{e^{4(1-s)\pi(v+v_0)^2} - 1}{|x|^{2s}} \right\|_{L^{1+\varepsilon}(\mathbb{R}^2)}.
 \end{aligned}$$



$\tilde{s} = 0$  and  $n = 2$  in Theorem 1.

$0 \leq s < 2$ ,  $0 < \alpha < 2(2 - s)\pi$ ,  $\exists C_{s,\alpha} > 0$  s.t.

$$\int_{\mathbb{R}^2} \left( e^{\alpha|u(x)|^2} - 1 \right) \frac{dx}{|x|^s} \leq C_{s,\alpha} \|u\|_{L^2(\mathbb{R}^2)}^{2-s}$$

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**Brézis-Gallouët-Wainger type inequality  
by Ibrahim-Majdoub-Masmoudi (2007)**

$\lambda > \frac{2}{\pi}$ ,  $\exists C_\lambda > 0$  s.t.

$$\|u\|_{L^\infty(\mathbb{R}^2)}^2 \leq \lambda \|u\|_{H^{1,2}(\mathbb{R}^2)}^2 \log \left( C_\lambda + \frac{\|u\|_{C^{\frac{1}{4}}(\mathbb{R}^2)}}{\|u\|_{H^{1,2}(\mathbb{R}^2)}} \right)$$

for  $\forall u \in \left( H^{1,2}(\mathbb{R}^2) \cap C^{\frac{1}{4}}(\mathbb{R}^2) \right) \setminus \{0\}$ .