

**Global solutions for the Navier-Stokes equations  
in the rotational framework**

**Tsukasa Iwabuchi (Chuo University)**

**Joint Work with Ryo Takada (Tohoku University)**

$$(NSC) \begin{cases} \partial_t u - \Delta u + \Omega e_3 \times u + (u \cdot \nabla)u + \nabla p = 0 & t > 0, x \in \mathbb{R}^3, \\ \mathbf{div} \ u = 0 & t > 0, x \in \mathbb{R}^3, \\ u(0) = u_0 & x \in \mathbb{R}^3, \end{cases}$$

where  $\Omega \in \mathbb{R}$  is the speed of the rotation,  $e_3 := (0, 0, 1)$ .

**Aim.** Global solutions for  $u_0 \in \dot{H}^s(\mathbb{R}^3)^3$  with  $s \geq 1/2$ .

**Known Results (Global solutions).**

- $\Omega = 0$ . Fujita-Kato (1964), T. Kato (1984), Kozono-Yamazaki (1994), Koch-Tataru (2001).  
 $u_0 \in \dot{H}^{\frac{1}{2}}(\mathbb{R}^3)$  : small.

- $\Omega \in \mathbb{R}$

**Babin-Mahalov-Nikolaenko (2001)**

**Chemin-Desjardins-Gallagher-Grenier (2006)**

$$\forall u_0 \in H^{\frac{1}{2}}(\mathbb{R}^3)^3, \exists \omega_0 > 0 \text{ s.t. } |\Omega| > \omega_0.$$

**Giga-Inui-Mahalov-Saal (2008),**

$$\exists \delta > 0 : \text{ independent of } \Omega \in \mathbb{R} \text{ s.t. } \|u_0\|_{FM_0^{-1}} \leq \delta.$$

**Hieber-Shibata (2010),**

$$\|u_0\|_{H^{\frac{1}{2}}} \leq \delta.$$

**Konieczny-Yoneda (2011),  $1 < p \leq \infty$ ,  $\|u_0\|_{FB_{p,\infty}^{2-\frac{3}{p}}} \leq \delta.$**

**Problem. Characterize the sufficient speed of rotation  $\omega_0$  for global existence.**

**Theorem 1.** Let  $s, p, \theta$  satisfy

$$\frac{1}{2} < s < \frac{3}{4}, \quad \frac{1}{3} + \frac{s}{9} < \frac{1}{p} < \frac{2}{3} - \frac{s}{3},$$

$$\frac{s}{2} - \frac{1}{2p} < \frac{1}{\theta} < \frac{5}{8} - \frac{3}{2p} + \frac{s}{4}, \quad \frac{3}{4} - \frac{3}{2p} \leq \frac{1}{\theta} < 1 - \frac{2}{p}.$$

Then,  $\exists C > 0$  such that if  $\|u_0\|_{\dot{H}^s} \leq C|\Omega|^{\frac{s}{2} - \frac{1}{4}}$ , there exists a unique solution  $u \in C([0, \infty), \dot{H}^s(\mathbb{R}^3))^3 \cap L^\theta(0, \infty; \dot{H}_p^s(\mathbb{R}^3))^3$  to (NSC).

**Remark.**

(1)  $|\Omega| \geq \omega_0 = \left( C^{-1} \|u_0\|_{\dot{H}^s} \right)^{\frac{2}{s-1/2}}.$

(2)  $\frac{2}{\theta} + \frac{3}{p} < 1 + s$  since  $s > \frac{1}{2}$ .

**Solutions in Theorem 1 is more regular than functions in scaling invariant spaces.**

(3) **Let**  $u_\lambda := \lambda u(\lambda^2 t, \lambda x)$ ,  $\Omega_\lambda := \lambda^2 \Omega$ .

$$\|u_\lambda(0)\|_{\dot{H}^s} = \lambda^{s-\frac{1}{2}} \|u_0\|_{\dot{H}^s}, \quad |\Omega_\lambda|^{\frac{s}{2}-\frac{1}{4}} = \lambda^{s-\frac{1}{2}} |\Omega|.$$

**Theorem 2.** Let  $s = \frac{1}{2}$ . For any precompact set  $K \subset \dot{H}^{\frac{1}{2}}(\mathbb{R}^3)^3$ ,  $\exists \omega_0 = \omega_0(K) > 0$  s.t. if  $u_0 \in K$  and  $|\Omega| > \omega_0$ , there exists a unique solution  $u \in C([0, \infty), \dot{H}^{\frac{1}{2}}(\mathbb{R}^3))^3 \cap L^4(0, \infty; \dot{H}^{\frac{1}{3}}(\mathbb{R}^3))^3$  to (NSC).

**Remark.**  $s > 1/2$ ,  $|\Omega| \geq c \|u_0\|_{\dot{H}^s}^{\frac{2}{s-\frac{1}{2}}}$ .  
 $s = 1/2$ ,  $|\Omega| \geq \omega(K)$ .

**Proof.**

$$T_{\Omega}(t)f = \mathcal{F}^{-1} \left[ \cos \left( \frac{\xi_3}{|\xi|} \Omega t \right) e^{-t|\xi|^2} \hat{f} + \sin \left( \frac{\xi_3}{|\xi|} \Omega t \right) e^{-t|\xi|^2} R(\xi) \hat{f} \right],$$

where  $R(\xi) := \frac{1}{|\xi|} \begin{pmatrix} 0 & \xi_3 & -\xi_2 \\ -\xi_3 & 0 & \xi_1 \\ \xi_2 & -\xi_1 & 0 \end{pmatrix}.$

$$T_{\Omega}(t)f = \mathcal{F}^{-1} \left[ \cos \left( \frac{\xi_3}{|\xi|} \Omega t \right) e^{-t|\xi|^2} \hat{f} + \sin \left( \frac{\xi_3}{|\xi|} \Omega t \right) e^{-t|\xi|^2} R(\xi) \hat{f} \right].$$

**Coriolis force :**  $\cos \left( \frac{\xi_3}{|\xi|} \Omega t \right), \sin \left( \frac{\xi_3}{|\xi|} \Omega t \right)$

**Heat equation :**  $e^{-t|\xi|^2}$

**Fourier multiplier :**  $e^{i \frac{\xi_3}{|\xi|} \Omega t}$

**Lemma 1.** Let  $2 \leq p \leq \infty$ ,  $\frac{1}{p} + \frac{1}{p'} = 1$ .

$$\left\| \mathcal{F}^{-1} \left[ e^{i \frac{\xi 3}{|\xi|} \Omega t} \widehat{f} \right] \right\|_{\dot{B}_{p,2}^0} \leq C \left\{ \frac{\log(e + |\Omega|t)}{1 + |\Omega|t} \right\}^{\frac{1}{2} \left(1 - \frac{2}{p}\right)} \|f\|_{\dot{B}_{p',2}^{3 \left(1 - \frac{2}{p}\right)}}$$

**Proof.**  $p = 2$ , isometric estimate.

$$\begin{aligned} p = \infty, \quad & \left\| \phi_j * \mathcal{F}^{-1} \left[ e^{i \frac{\xi 3}{|\xi|} \Omega t} \widehat{f} \right] \right\|_{L^\infty} \quad (\phi_j : \text{Littlewood-Paley's decomposition}) \\ & \leq \underbrace{\left\| \mathcal{F}^{-1} \left[ e^{i \frac{\xi 3}{|\xi|} \Omega t} \chi_{\{2^{j-1} \leq |\xi| \leq 2^{j+1}\}} \right] \right\|_{L^\infty}}_{\leq 2^{3j} \left\{ \frac{\log(e + |\Omega|t)}{1 + |\Omega|t} \right\}^{\frac{1}{2}}} \|\phi_j * f\|_{L^1}. \\ & \leq 2^{3j} \left\{ \frac{\log(e + |\Omega|t)}{1 + |\Omega|t} \right\}^{\frac{1}{2}} \quad (\because \text{integration by parts.}) \end{aligned}$$

**Interpolation of the two cases.**

□

**Proposition 2.** Let  $2 \leq p < \infty$ ,  $1 < q \leq \frac{p}{p-1}$ .

$$\|T_{\Omega}(t)f\|_{L^p} \leq C \left\{ \frac{\log(e + |\Omega|t)}{1 + |\Omega|t} \right\}^{\frac{1}{2}(1-\frac{2}{p})} t^{-\frac{3}{2}(\frac{1}{q}-\frac{1}{p})} \|f\|_{L^q}.$$

**Proposition 3.** Let  $2 < \theta < \infty$ ,  $2 < p < 6$  satisfy

$$\frac{3}{4} - \frac{3}{2p} \leq \frac{1}{\theta} < 1 - \frac{2}{p}.$$

Let  $\alpha := 1/\theta - (3/4 - 3/2p)$ .

$$\|T_{\Omega}(\cdot)f\|_{L^{\theta}(0,\infty;L^p(\mathbb{R}^3))} \leq C|\Omega|^{-\alpha} \|f\|_{L^2}.$$

**Remark.**  $\alpha \geq 0$ . For  $\alpha = 0$ ,

$$\lim_{|\Omega| \rightarrow \infty} \|T_{\Omega}(t)f\|_{L^{\theta}(0,\infty;L^p(\mathbb{R}^3))} = 0 \text{ for } f \in L^2(\mathbb{R}^3)^3.$$

**Proof of Theorem 1.** For  $s, p, \theta$  in Theorem 1, let

$$\Psi(u)(t) := T_{\Omega}(t)u_0 - \int_0^t T_{\Omega}(t-\tau)\mathbb{P}\nabla \cdot (u \otimes u)d\tau,$$

$$X := \left\{ u \in L^{\theta}(0, \infty; \dot{H}_p^s(\mathbb{R}^3)) \mid \|u\|_{L^{\theta}\dot{H}_p^s} \leq C|\Omega|^{-\alpha}\|u_0\|_{\dot{H}^s} \right\},$$

where  $\alpha := 1/\theta - (3/4 - 3/2p)$ .

**Claim.**  $\|\Psi(u)\|_{L^{\theta}\dot{H}_p^s} \leq C|\Omega|^{-\alpha}\|u_0\|_{\dot{H}^s} + C|\Omega|^{-\alpha}|\Omega|^{-(\frac{s}{2}-\frac{1}{4})}\|u_0\|_{\dot{H}^s}^2$   
**for any  $u \in X$ .**

**Remark.**  $|\Omega|^{-(\frac{s}{2}-\frac{1}{4})}\|u_0\|_{\dot{H}^s} \leq \delta$  **iff**  $\|u_0\|_{\dot{H}^s} \leq \delta|\Omega|^{-\frac{s}{2}+\frac{1}{4}}$

**Proof of Claim.** Let  $1/q := 2/p - s/3$ ,  $\gamma(\Omega t) := \frac{\log(e + |\Omega|t)}{1 + |\Omega|t}$ .

$$\begin{aligned}
& \left\| \int_0^t T_\Omega(t - \tau) \mathbb{P} \nabla \cdot (u \otimes u) d\tau \right\|_{L^\theta(0, \infty; \dot{H}_p^s)} \\
& \leq C \left\| \int_0^t (t - \tau)^{-\frac{1}{2} - \frac{3}{2}(\frac{1}{q} - \frac{1}{p})} \gamma(\Omega(t - \tau))^{\frac{1}{2}(1 - \frac{2}{p})} \|u \otimes u\|_{\dot{H}_q^s} d\tau \right\|_{L^\theta(0, \infty)} \\
& \leq C \left\| t^{-\frac{1}{2} - \frac{3}{2}(\frac{1}{q} - \frac{1}{p})} \gamma(\Omega t)^{\frac{1}{2}(1 - \frac{2}{p})} \right\|_{L^r(0, \infty)} \|u \otimes u\|_{L^{\frac{\theta}{2}} \dot{H}_q^s} \\
& \quad (\because 1/\theta = 1/r + 2/\theta - 1) \\
& \leq C |\Omega|^{-\frac{1}{r} + \frac{1}{2} + \frac{3}{2}(\frac{1}{q} - \frac{1}{p})} \|u \otimes u\|_{L^{\frac{\theta}{2}}(0, \infty; \dot{H}_q^s)} \quad (\because t \mapsto |\Omega|^{-1} \tilde{t}) \\
& \leq C |\Omega|^{-\frac{1}{r} + \frac{1}{2} + \frac{3}{2}(\frac{1}{q} - \frac{1}{p})} \|u\|_{L^\theta(0, \infty; \dot{H}_p^s)}^2 \\
& \leq C |\Omega|^{-\frac{1}{r} + \frac{1}{2} + \frac{3}{2}(\frac{1}{q} - \frac{1}{p})} |\Omega|^{-2\alpha} \|u_0\|_{\dot{H}^s}^2 \quad (\because u \in X) \\
& = C |\Omega|^{-\alpha} |\Omega|^{-\left(\frac{s}{2} - \frac{1}{4}\right)} \|u_0\|_{\dot{H}^s}^2. \quad \square
\end{aligned}$$

**Proposition 4.** Let  $2 < \theta < \infty$ ,  $2 < p < 6$ ,  $\frac{3}{4} - \frac{3}{2p} = \frac{1}{\theta}$ .

For any  $f \in L^2(\mathbb{R}^3)^3$ , there exists  $C > 0$  such that

$$\limsup_{|\Omega| \rightarrow \infty} \sup_{\|g-f\|_{L^2} \leq \varepsilon} \|T_\Omega(t)g\|_{L^\theta(0, \infty; L^p(\mathbb{R}^3))} \leq C\varepsilon$$

for any  $\varepsilon > 0$ .

**Proof.** If  $\|g - f\|_{L^2} \leq \varepsilon$ , we have

$$\begin{aligned} & \|T_\Omega(t)g\|_{L^\theta(0, \infty; L^p(\mathbb{R}^3))} \\ & \leq \underbrace{\|T_\Omega(t)(g - f)\|_{L^\theta(0, \infty; L^p(\mathbb{R}^3))}}_{(*)} + \underbrace{\|T_\Omega(t)f\|_{L^\theta(0, \infty; L^p(\mathbb{R}^3))}}_{(**)}, \end{aligned}$$

$$(*) \leq C\|g - f\|_{L^2} \leq C\varepsilon,$$

$$(**) \rightarrow 0 \text{ as } |\Omega| \rightarrow \infty. \quad \square$$